

Kavya Agarwal

Summary		
Professional with 8 years of experience across Big 4 and global consulting environments, specializing in SOX 404 compliance, IT General & Application Controls (ITGC/ITAC), internal audit, SOC reporting, and information security audits . Proven track record of leading large global audit engagements , managing cross-border teams, engagement economics, and end-to-end delivery using tools like AuditBoard, Workiva, Jira, and analytics-driven audit approaches. Extensive industry exposure across IT services, BFSI, manufacturing, healthcare, insurance, logistics, and real estate , with hands-on experience supporting US-listed and Fortune 500 clients		
Qualification	Institute	Year
MBA (pursuing)	Indian Institute of Management, Ahmedabad	2024-2026
B.Tech (Computer Science)	GLA University, Mathura, India	2018
XII, ICSE	St. Patrick's Junior College, Agra, India	2014
X, ICSE	St. Patrick's Junior College, Agra, India	2012
Work Experience		(8+ Years)
KPMG Global Services, Gurgaon		(2 Years, 6 Months)
Assistant Manager		July '23 - Present
- Lead a 10-member India-based team in collaboration with US stakeholders on a 25,000+ hour SOX compliance portfolio, delivering SOX, information security, and operational audits across BFSI, IT, manufacturing, banking, real estate, and insurance sectors. - Drive design and operating effectiveness testing of GITCs and ITACs supporting Internal Controls over Financial Reporting (ICFR), ensuring high-quality, regulator-ready audit outcomes. - Play a key role in end-to-end engagement planning across SOX 404, IT risk and controls, internal audit, IT governance, emerging technologies, automation, and regulatory compliance initiatives. - Manage complex engagements using Jira, AuditBoard, and Workiva, leading kick-off, status, and closure meetings while proactively managing stakeholder expectations and global delivery risks. - Own engagement economics, including resourcing and reporting, consistently reducing delivery costs and improving profitability through optimal staffing and effective leadership under high-pressure, multi-engagement environments.		
Ernst & Young Global Delivery Services, Gurgaon		(2 Years)
Consultant		July '21-July '23
- Led a 5-member India-based team in collaboration with US stakeholders on a 10,000+ hour SOX compliance engagement, covering platform, non-platform, and Oracle environments across mobility, manufacturing, logistics, pharma, and IT sectors. - Implemented next-generation audit approaches, including microservices-based IT audit components and analytics-driven procedures, achieving up to 99% audit reliance. - Directed end-to-end execution and quality review of ITGC, ITAC, key reports, CJIs, and analytics testing, ensuring high audit quality and on-time delivery across multiple concurrent engagements. - Acted as the primary client-facing contact, leading walkthroughs, evidence collection, and issue resolution through direct interactions with global client teams. Trained and onboarded 20+ campus hires on IT audit methodologies within one month, while managing planning, budgeting, and resourcing to optimize engagement economics.		
Genpact Enterprise Risk Consulting, Gurgaon		(1 Year, 5 Months)
Consultant		Feb '20-June '21
- Delivered automated business process and application control testing for internal audits of Fortune 500 BFSI and healthcare insurance clients, supporting enterprise-wide operational risk management and ICFR assurance. Led the IT COSO ITAC workstream, coordinating directly with audit heads, process owners, and control owners to plan, execute, and review control testing with minimal rework. - Performed control mapping and risk assessments across complex IT environments in alignment with the COSO framework, enabling clear identification of high-risk areas and control gaps. - Utilized AuditBoard and SOX Hub to manage testing, documentation, and issue tracking, improving audit efficiency and traceability. - Developed ITGC training materials for internal teams, strengthening audit quality and accelerating onboarding of new consultants.		
Ernst & Young Global Delivery Services, Gurgaon		(1 Year, 6 Months)
Risk Analyst		August '18-Feb '20
- Executed IT General Controls (ITGC) testing at design and operating effectiveness levels for SOX 404, SSAE 18, and FAIT engagements across US- and UK-listed clients in IT services, healthcare, pharma, retail, and agribusiness sectors. - Assessed Logical Access, Change Management, and IT Operations controls, identifying control gaps and supporting remediation to strengthen ICFR and SOX compliance outcomes.		

- Conducted IT infrastructure and privileged access reviews across Windows, UNIX, Mainframe, and SQL environments, ensuring appropriate segregation of duties and access governance. - Tested Information Produced by the Entity (IPE) for completeness and accuracy across multiple applications, improving audit reliability and reliance. - Prepared and supported SOC 1 and SOC 2 reporting, including end-to-end documentation and 107GL forms, contributing to timely and high-quality assurance deliverables.	
Quasix Solutions Pvt. Ltd., New Delhi	(8 Months)
Associate	Jan '18-Aug '18
Performed User Access Management, Change Management and Network Operations monitoring to ensure SOX 404 compliance for clients preparing for public filing.	

Additional Certifications	
- Won Super Team Award in KPMG during Q4 2024. - Won Gurus at Work and Round of Applause awards in KPMG during Q3 2024. - Won 3 Spot Awards in KPMG till date. - Won 6 On-Site Client Recognition Awards & 3 Spot Awards in EY within a span of a year Jan - Dec 2022. - Won On-site Client Recognition Award in EY during Q4 2019. - Won Extra Miler Spot Award in EY during Q2 2019.	

Additional Certifications	
ISMS ISO 27001:2013 Lead Auditor	2021

Email: work.kavya2@gmail.com | Contact: +91-7895836530 | LinkedIn: <https://www.linkedin.com/in/kavya-ag>